



TRANSMITTAL FORM		Application No.	10/766,578				
			Filing Date	January 27, 2004	_		
(to be used for all correspondence after initial filing)			First Named Inventor	Bruce A. Block			
			Art Unit				
			Examiner Name				
Total Number of I	Pages in This Submission	on 8	Attorney Docket Number	42P13747C			
ENCLOSURES (check all that apply)							
Fee Transmittal	Form	Drawing(s))	After Allowance Communito Group	cation		
Fee Attack	hed	Licensing-re	elated Papers	Appeal Communication to of Appeals and Interference	Board es		
Amendment / Re	esponse	Petition		Appeal Communication to (Appeal Notice, Brief, Reply Bri	Group ef)		
After Fina Affidavits/	l declaration(s)	Petition to O Provisional	Convert a Application	Proprietary Information			
Extension of Tim	e Request	Power of At Change of	ttorney, Revocation Correspondence Address	Status Letter			
Express Abandonment Request		Terminal Disclaimer		Other Enclosure(s) (please identify below):			
Information Disc	losure Statement	Request for Refund		return receipt postcard			
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Decla	aration/POA						
Response Parts und 1.52 or 1.5	e to Missing er 37 CFR 33						
	SIGNATURI	E OF APPLICAN	NT, ATTORNEY, OR A	GENT			
Firm	William Thoma	as Babbitt, Re	eg. No. 39,591				
or Individual name	BLAKELY, S	OKOLOFF,	TAYLOR & ZAI	MAN LLP			
Signature	Millian	-V Baff	it				
Date 9/30/04							
	CERTIFI	CATE OF MAIL	ING/TRANSMISSION				
I hereby certify that this postage as first class m VA 22313-1450.	correspondence is being ail in an envelope addre	g deposited with the ssed to: Mail Stop	e United States Postal Se Amendment, Commission	vice on the date shown below with su er for Patents, P.O. Box 1450, Alexa	ıfficient ndria,		
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for FY 20	04		Filing Date	January 27, 2004	
Effective 10/01/2004. Patent fees are subject			First Named Inventor	Bruce A. Block	-
Applicant claims small entity status.	See 37 CFR 1.27.		Examiner Name		
	T		Art Unit		
TOTAL AMOUNT OF PAYMENT	(\$)	0.00	Attorney Docket No.	42P13747C	

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Charge any additional fee(s) or underpayment of fees as required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.	1805	1,840 *	1805	1,840		n of SIR after		
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1002 340 2002 170 Design filing fee	1402	290	2402 2403	145	Request for oral heari			
1003 530 2003 265 Plant filing fee	1451	1,510	2451	1,510	Petition to institute a	•	na	<u> </u>
1004 770 2004 385 Reissue filing fee 1005 160 2005 80 Provisional filing fee	1452	110	2452	55	Petition to revive - una		·9	
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2. EXTRA CLAIM FEES Extra Fee from	1502	480	2502	240	Design issue fee	•		
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**or number previously paid, if greater, For Reissues, see below	* Reduced	d by Basic Fi	≊ng Fee	raid	:	SUBTOTAL (3)	(\$)	
SUBMITTED BY						Comp	lete (if applica	ble)
Name (Print/Type) William Thomas Babbitt		gistratio		T ,	39,591	Telephone	(310) 20	
William Filomas Baybit	(At	torney/Age	nt)		77,371	, GIOPHUNG	(310) 20	/-5000
Signature William Batht						Date	9/30/0	14



Docket No.: 42P13747C

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

BRUCE A. BLOCK, ET AL.

Application No.: 10/766,578

Filed: January 27, 2004

For: Enhanced On-Chip Decoupling

Capacitors and Method of Making Same

Art Group:

Examiner:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

Date: 9/30/04

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

William Thomas Babbitt, Reg. No. 39,591

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025

Telephone: (310) 207-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known				
Application Number	10/766,578			
Filing Date	January 27, 2004			
First Named Inventor	Bruce A. Block			
Art Unit				
Examiner Name		,		
Attorney Docket Number	42P13747C			

	U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No.1	Document Number Number - Kind Code ² (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-5,021,842	06-04-1991	Koyanagi	
		US-5,142/639	08-25-1992	Kohyama et al.	
		US-5,153,813	10-06-1992	Oehrlein et al.	
		US-5,240,871	08-31-1993	Doan et al.	
		US-5,455,204	10-03-1995	Dobuzinsky et al.	
		US-5,550,080	08-27-1996	Kim	
		US-5,696,395	12-09-1997	Tseng	
		US-5,701,264	12-23-1997	Shrivastava et al.	
		US-5,710,233	01-20-1998	Meckel et al.	
		US-5,789,267	08-04-1998	Hsia et al.	
,		US-5,835,337	11-10-1998	Watanabe et al.	
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		US-5,891,772	04-06-1999	Hsu	
		US-5,907,774	05-25-1999	Wise	
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		US-6,027,968	02-22-2000	Nguyen et al.	
		US-6,064,085	05-16-2000	Wu	
		US-6,268,995	07-31-2001	Beuerman et al.	
		US-6,294,420	09-25-2001	Tsu et al.	
		US-6,344,392	02-05-2002	Liaw	

	FOREIGN PATENT DOCUMENTS						
Examiner	Cite	Foreign Patent Document			Pages, Columns, Lines.		
Initials*	No.1	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	יין	
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wtr) 08/11/2003.

Complete if Known Substitute for form 1449A/PTO Application Number 10/766,578 INFORMATION DISCLOSURE January 27, 2004 Filing Date First Named Inventor Bruce A. Block STATEMENT BY APPLICANT Art Unit (use as many sheets as necessary) **Examiner Name** 2 2 **Sheet** Attorney Docket Number 42P13747C

			U.S. PAT	ENT DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-6,346,455	02-12-2002	Thakur et al.	
		US-6,373,092	04-16-2002	Okumoto	
		US-6,407,423	06-18-2002	Okumoto	
		US-6,555,433	04-29-2003	Liaw	
		US-			

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Initials*	No.1	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
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¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wir) 08/11/2003.